

DRAFT

**FINANCIAL STATUS REPORT
AOA SUPPLEMENTAL FORM TO SF-269 – TITLE III**

The Administration on Aging plans to publish for comment in the *Federal Register* a revision to the Supplemental Form to the SF-269. This publication will be the first step in the process of obtaining OMB clearance for the collection of data about expenditures under Title III, Part E, the National Family Caregiver Support Program. The form that follows reflects the preliminary type of information that is necessary to collect on an interim basis in order to document the need for a comprehensive caregiver service delivery system.

The need to collect data about service expenditures and to begin to gather data about older individuals needing care and those persons who are providing care is well recognized. We are aware however, that without modification, many data collection systems are not equipped to provide information about caregivers. As a result, we have proposed to collect minimal data about older persons served consistent with your systems' current capacity. Concurrently, we will engage the aging network in discussions about any possible revisions to the National Aging Program Information System as a means of collecting data on a permanent basis. Further, we will explore options for gathering data about the characteristics of older individuals needing care and the caregivers who provide that care through other means such as sampling, surveys, research projects and our performance outcomes measures project.

The form that follows is provided for your information and in advance of its publication in the *Federal Register*. We look forward to working with you to reflect the needs of older individuals in need of care and their caregivers. This information will be essential to the ongoing support and expansion of the National Family Caregiver Support Program.

DRAFT
FINANCIAL STATUS REPORT
AOA SUPPLEMENTAL FORM TO SF-269-TITLE III

STATE _____

FY _____

DATE SUBMITTED _____

REPORTING PERIOD ENDED _____

Item 10 i Column III, Total Recipient Share of Outlays which consist of outlays from:

	State	AAAs
ADMIN	\$ _____	\$ _____
Title III		
Part B	\$ _____	\$ _____
LTCO (Part B)	\$ _____	\$ _____
Part C-1	\$ _____	\$ _____
Part C-2	\$ _____	\$ _____
Part D	\$ _____	\$ _____
Part E	\$ _____	\$ _____
TOTAL	\$ _____	\$ _____

Item 10 j Column III, Federal Share of Net Outlays:

	State	AAAs
ADMIN	\$ _____	\$ _____
Title III		
Part B	\$ _____	\$ _____
LTCO (Part B)	\$ _____	\$ _____
Part C-1	\$ _____	\$ _____
Part C-2	\$ _____	\$ _____
Part D	\$ _____	\$ _____
Part E	\$ _____	\$ _____
TOTAL	\$ _____	\$ _____

DRAFT

Item 10 o Column III Total Federal Funds Authorized by AOA for the Federal FY_____ have been allocated by the State as follows (as applicable):

1. State administrative activities which consists of funds in the amount of \$_____ from the following:

Part B \$_____

Part C-1 \$_____

Part C-2 \$_____

Part D \$_____

Part E \$_____

2. Part B, Supportive Services \$_____

3. Part B, Long Term Care Ombudsman \$_____ FY'2000 \$_____

4. Part C-1, Congregate Meals \$_____

5. Part C-2, Home Delivered Meals \$_____

6. Part D, Preventive Health \$_____

7. Part E, Caregivers \$_____

Area Plan Administration \$_____
which consists of funds from:

Part B \$_____

Part C-1 \$_____

Part C-2 \$_____

Part E \$_____

Item 10 p Column III, Unobligated Funds:

Part B \$_____ Part D \$_____

Part C-1 \$_____ Part E \$_____

Part C-2 \$_____

Item 10 r Column III, Disbursed Program Income using the additional alternative (cumulative amount):

Part B \$_____ Part D \$_____

Part C-1 \$_____ Part E \$_____

DRAFT

Part C-2 \$ _____

PART E (STATEWIDE EXPENDITURES)

	EXPENDITURES	UNITS	PEOPLE SERVED
INFORMATION	\$ _____	_____	_____
ASSISTANCE	\$ _____	_____	_____
COUNSELING SUPPORT GROUPS TRAINING	\$ _____	_____	_____
RESPITE	\$ _____	_____	_____
SUPPLEMENTAL SERVICES	\$ _____	_____	_____
Total	\$ _____		

DRAFT

Examples of services that States could report in the five statutory service categories:

Information:

Group services, including public education, provision of information at health fairs and other similar designations as determined by the state.

- Outreach: Interventions for the purpose of identifying potential caregivers and encouraging their use of existing services and benefits.

Assistance:

Individual one-on-one contact.

- Information and Assistance: A service that provides current information on opportunities and services available; assesses the problems and capacities of the individuals; links the individuals to the opportunities and services available; to the maximum extent practicable, ensures that the individuals receive the services needed, and are aware of the opportunities available to the individuals by establishing adequate follow-up procedures.
- Case management: Assistance either in the form of access or care coordination in circumstances where the older person or their caregivers are experiencing diminished functioning capacities, personal conditions or other characteristics which require the provision of services by formal service providers. Activities of case management include assessing needs, developing care plans, authorizing services, arranging services, coordinating the provision of services among providers, follow-up and reassessment, as required.

**Counseling/
Support Groups/
Training:**

Provision of advice, guidance and instruction about options and methods for providing support to caregivers in an individual or group setting.

Respite:

Temporary, substitute supports or living arrangements to provide a brief period of relief or rest for caregivers. It can be in the form of In-home respite, adult day care respite, or institutional respite for an overnight stay on an intermittent, occasional, or emergency basis.

**Supplemental
Services:**

Other services to support the needs of caregivers, as defined by the state.